

## Concept of Śruti, Svāra and Rāga of Classical Music in Sanskrit Texts\*

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### Abstract

Indian classical music also known as *rāga* music traces its origin to the style of chanting hymns of the Sāmaveda (2<sup>nd</sup>-3<sup>rd</sup> Millennium BCE) that is in practice to this day. The transition from the sacred to secular or worldly music is textually evidenced for the first time in the *Nāṭya-śāstra* of Bharata (100 BCE-100CE). All texts attest to the fact that the string instrument generically called *Vīṇā*, that is in use since Vedic times is indispensable in understanding the subtle nuances of the theory behind Hindu classical music. The present paper reviews some of the well-known texts from a historical perspective to bring out several scientific aspects studied or expounded by our ancient authors regarding technical terms such as *śruti*, *svāra*, *dviguṇa* and *rāga* that form the foundation of the theory. It is demonstrated that the theory and practice of *rāga* music is based on the probabilistic concept *alpatva-bahutva*, first enunciated by Bharata and elaborated later by Dattila, Mataṅga, Śārṅgadeva among others.

**Key words:** *Ālāpana*, *Gamaka*, Experiments, Frequency, Hindu classical music, Octave, Probability, *Rāga*, *Sāmaveda*, *Svāra*, Time series, Vibration of Strings, *Vīṇā*

### 1. INTRODUCTION

A classical music concert by a maestro, whether vocal or instrumental, either in the South Indian (Karnatic) style or in the northern (Hindustani) style turns out to be enjoyable and enchanting with the pleasant feeling remaining with a discerning listener for a long time. One wonders how transient groups of sounds without linguistic articulation, are made to convey some profound meaning, leading to satisfactory internal experience to a listener, even if it be called entertainment, so that this art form that literally builds castles in air has evolved as distinct from folk music and has sustained itself in this country over a period of two thousand years or more. It is usually pointed out that Indian classical music, known also as *rāga* music in current parlance, has

had an unbroken tradition of practitioners who have maintained the science of their art true to its original axioms but with many innovations and modifications over the centuries. Starting from Bharata's *Nāṭyaśāstra* (*BNS*) dated variously, but perhaps the tradition originating around 100 BCE, Sanskrit texts numbering nearly a hundred exist on the theory of music (Raghvan, 1932; Aiyar, 1941, pp. 233-246). While some texts repeat what a previous author had already said, there are authors questioning and differing from their predecessors to arrive at new findings. The stated aim of many texts is to reconcile theory and practice, where practice refers to the performance of professionals who by their theoretical knowledge, training and innate intelligence explore new vistas within the boundaries of the tradition.

\* Technical words in Sanskrit are not translated in many places, since their full import can rarely be conveyed by an equivalent English word.

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Almost all known treatises trace the origin of classical music to the chanting of the *Sāmaveda*. They also postulate one-to-one relation between the human body and the stringed instrument *Vīṇā* in sound production, a tenet adapted from the *Aitareya Āraṇyaka* belonging to the tradition of the *Rgveda* (*Aitareya Āraṇyaka*, III 2.5). These are the two doctrines accepted by all authors on Hindu classical music. The first tenet gradually led to the delineation of the seven *svara* (notes) and twenty-two *śruti* (ordered sound intervals) in an octave with special names and symbols. The second principle of similarity between the *Vīṇā* and the human body encouraged experiments with strings to clarify a variety of questions regarding human voice and vocal music, including theoretical understanding of *svara* and *śruti*. This tradition of experimenting with strings eventually culminated in the southern *Sarasvatī Vīṇā* and the northern *Sitār* that are well known worldwide.

The texts generally narrate esoteric concepts about sound and state the theory of *svaras* separated by certain *śruti* intervals. Attempts at quantification or fixing of *svara* positions are made, but the authors largely depend on verbal descriptions and similes to bring out ideas that are abstruse but essential to the music. For example discussion on the difference between *svara* and *śruti* occupies considerable space and in some texts the explanations are quite confusing. While many authors propound that the *śrutis* in an octave are finite in number, interestingly enough, Kohala's school holds that *śrutis* are infinite as noted in the text *Bṛhaddeśī* by Mātanga (c 8<sup>th</sup> cent CE) (Sastri, 1928)<sup>1</sup>. It is easy to recognize that such diverse opinions are due to the fundamental question whether a line of finite length is to be described as a series of distinct points or is it adequate to take it as a sequence of a few sub-divisions. But, this 'line' that the ancient musicologists grappled with was strictly abstract in as much as in the *Sāmavedic* chants it could

only be heard and not seen. Thus, in the early days the string as a straight line must have been a valuable support for visualizing the ordering of the intervals that otherwise remained aural bordering on the imaginary.

Several authors provide illustrative examples of their theory by providing *rāga* samples made out of *svara* symbols. These are actually short time series samples simulated by the authors with the full understanding that when sung the sound pattern will be continuous in time. How the internal composition of the various *svaras* relative to each other and to the whole, is to be handled by training, practice, intelligence and intuition to produce numerous pleasing *rāga* patterns with songs and accompaniments or extempore without any articulated song is the main subject matter of the texts.

A large technical vocabulary is developed in the texts to delineate enchanting creation of melodies in human voice and on the *Vīṇā*. These technical terms are like parameters that one introduces in making a mathematical model for a physical process, except that they are too many, vague, neither fixed nor quantifiable but expected to be internalized by the musician to be able to visualize beauty in such sound patterns and be capable of conveying the same to the audience. In the midst of this palpable uncertainty there is one precise word *dviguṇa* to describe the doubling property of any *svara* from the lower to the upper register. In the present paper, we first discuss this *dviguṇa* property of the notes in successive registers. This is followed by a discussion on how uncertainty or unexpectedness is built into *rāga* representation through the often ignored probabilistic term *alpatva-bahutva*, first stated in Bharata's *Nāṭyaśāstra* (BNS). The present study intends to explore such scientific concepts widely spread out in Sanskrit texts that helped ancient musicologists to arrive at a theory for *rāga* music.

<sup>1</sup> आनन्त्यं तु श्रुतीनां तु दर्शयन्ति विपश्चितः । यथा ध्वनिविशेषाणामानन्त्यं गगनोदरे ।। (*Bṛhaddeśī*. v. 29)

## 2. SAPTA-SVARA AND DVIGUṆA

The seven *svaras* with their well-known names and vocalization symbols are: *Ṣadja* (Sa), *Rṣabha* (Ri), *Gāndhāra* (Ga), *Madhyama* (Ma), *Pañcama* (Pa), *Dhaivata* (Da), *Niṣāda* (Ni); in the increasing order of pitch. The number count of seven is likely to have continued from the oral tradition of the *Sāmaveda* that uses largely five but occasionally six and seven *svaras* in some chants. The Vedic names for the *svara* are not only different but also arranged in the descending order as *Prathama*, *Dvītīya*, *Tṛtīya*, *Caturtha*, *Mandra*, corresponding to Ma, Ga, Ri, Sa, Da with the infrequent *kruṣṭa* and *atisvāra* equated with Ni and Pa in some places. It is noted that the starting *svara* in the sacred music is Ma in contrast with the *laukika* (worldly) music of *BNS* and other texts starting with Sa. A simple description of *sāmagāna* practice including variant traditions is available in *The Ragas of Karnatik Music* by Ramachandran (Ramachandran, 1938). The monograph by Prajñānānanda (1963) and a recent article by Subhadrā Desai (2014) provide some preliminary information on the relation between *sāmagāna* and classical music.

Hymns of the *Rgveda* are chanted in three pitch levels, namely *anudātta* (low), *svarita* (middle) and *udātta* (high). Yajurveda hymns include one more intonation known as *pracaya*. This sequence might have led to initially five and later seven *svaras* of *sāmagāna* and also provided a model for the three registers of classical music. All traditional authors state that the same seven notes exist in the lower, middle and upper registers of human voice emanating from three levels corresponding with the chest, the throat and the head. The intriguing concept here is that of *dviguṇa* (double/twice) used to describe the relation between the *svaras* in the consecutive registers. The first clear statement of this occurs in the *Saṅgīta Ratnākara* (SR) (Sastri, 1943) of

Śārṅgadeva (12-13<sup>th</sup> cent CE) esteemed to this day as an authority on classical music.

व्यवहारे त्वसौ त्रैधा हृदिमन्द्रोऽभिधीयते। कण्ठे मध्यो मूर्ध्नि  
तारो द्विगुणश्चोत्तरोत्तरः॥

SR (1.7)

The text has two famous commentaries namely, the *Sudhākara* (Sastri, 1943) of Simha-bhūpāla (14<sup>th</sup> cent CE) and the *Kalānidhi* (Sastri, 1943) of Kallinātha (15<sup>th</sup> cent CE). The first commentator explains the above verse as

हृदि य उत्पद्यते नादः स मन्द्र इति कथ्यते; यस्तु कण्ठे  
उत्पद्यते स मध्यः; यस्तु मूर्ध्नि तारः। एषां मानं कथयति-  
द्विगुण इति। यावान्मन्द्रः ततो द्विगुणो मध्यः यावान्मध्यः ततो  
द्विगुणस्तारः।

He says sound produced in the chest, throat and head is known as *mandra*, *madhya* and *tāra* respectively. Their measure (*māna*) is stated to be *dviguṇa*. It is double that of the *mandra* in the *madhya*; and double that of the *madhya* in the *tāra sthāna*. However Kallinātha interprets this as the relative effort needed by a person to produce the sounds in the three registers. According to him,

....द्विगुणः; गुणः उच्चारण प्रयत्नः....अयमर्थः....  
द्विगुण-प्रयत्नः-साध्यत्वाद् द्विगुण इति।

There is no explanation on how the human effort required in a register could have been measured and found to be twice that needed in the lower register. Hence, we have to ignore this interpretation of *dviguṇa* as a passing opinion of the commentator. In any case he says that the *mandra-ṣadja* with twice the effort becomes the *madhya-ṣadja* and it is not a new note. He also further comments that when the octave is divided into 22-*śruti* intervals, the 23<sup>rd</sup> will be the *dviguṇa*<sup>2</sup>. Both the commentators and their predecessors have had the understanding that the same *svara* in the successive octave exhibits the property of doubling. Between the two commentators separated by nearly a century, the explanation of the former seems nearer to what the author

<sup>2</sup> श्रुतिरूपनादविवक्षायान्तु त्रयोविंशो द्विगुणः॥ (Kallinātha's commentary on SR I.7)

of *SR* wants to convey. Somanātha in his *Rāgavibodha* (1609 CE) with auto commentary repeats previous authors on *dviguṇa* and emphasizes the sameness of the *svara* through an example that a person going from a lower level to an elevated place is still recognized as the same individual (Sastri, 1945)<sup>3</sup>. The expression of frequency of vibration in terms of cycles per unit time is modern and it is known that the frequency of a given note (taken as a Sine wave) doubles in the immediate next octave. Hence the connotation *dviguṇa* to denote the interval measure of the octave, which is apt and precise, cannot be taken as a lucky coincidence. Beyond reasonable doubt, *dviguṇa* should have entered into the vocabulary of classical music through experiments with the stringed *Vīṇā*.

### 3. THE *VĪṆĀ*

Almost all the texts have a section on the *Vīṇā*, representing stringed instruments. Starting from *BNS* it is taken as an accepted fact that the theoretical 22 *śrutis* in the three registers cannot be produced clearly by the human voice. Simha-bhūpāla in his commentary quotes a verse of Pārśvadeva (13<sup>th</sup> cent CE) to emphasize that a *Vīṇā* is necessary to demonstrate all the notes clearly<sup>4</sup>. Without going into all that is known and written about the evolution of string instruments from Vedic times we note that in the early period of *BNS* the single-string (*eka-tantrī*) and the bow shaped instrument with several strings must have been in wide use (Coomaraswamy, 1930, pp.244-253). Bharata for his demonstration of the existence of 22-*śrutis*, invokes two identically tuned instruments one with fixed pitch positions

and the other that can be changed further. How many strings these had? In the absence of direct evidence we have to infer that they must have had seven or more strings each. Abhinavagupta (Kavi, 1964) (10<sup>th</sup> cent CE) the great philosopher from Kashmir in his illuminating commentary *Abhinavabhārati* on *BNS* says that the *pañcamatantrī* has to be slackened<sup>5</sup>. This means the fifth string, the one giving the Pa-*svara* has to be lowered by one *śruti*. Śārṅgadeva is quite clear that Bharata's experiment has to be carried out on two instruments each with 22 strings with their pitch in ascending order when played in the downward direction<sup>6</sup>. There is mention in some texts of the *matta-kokila vīṇā* that had 21 strings like a harp, seven for each of the three registers. Here, even if the tuning were to be done by the ear, since the width of the bow shaped instrument increases upwards, the length of the string associated with any *svara* from *tāra* to *madhya* and then to *mandra* should double sequentially. Or conversely, as in the human body the pitch is said to double upwards, in the case of the above *Vīṇā* the doubling of the pitch happens in the opposite direction. In the later stringed instruments with or without frets also the same condition applies. This is mentioned clearly by Kallinātha<sup>7</sup>, Mahārāṇa Kumbha (1433-1468 CE) (Sharma, 1963) and Dāmodara (1625 CE) (Sastri, 1952)<sup>8</sup> among several others. Authors starting from Bharata onwards knew that as the length of the freely vibrating part of the string reduces the *śruti* increases. This *viparyaya* or reverse order must have led to the word *dviguṇa* as reciprocal of half the length of the open string for hearing the *tāra*-Sa. That the sound of the open string can be

<sup>3</sup> यथा देवदत्तो नीचस्थलादुच्चस्थानगतोऽपि स एवेति प्रत्यभिज्ञायते तथेत्यभिप्रायः ॥ (Somanātha *Viveka* Commentary)

<sup>4</sup> ते तु द्वविंशतिर्नादा न कण्ठेन परिस्फुटाः । शक्या दर्शयितुं तस्माद्वीणायां तन्निदर्शनम् ॥ (*Saṅgīta-samaya-sāra*, quoted by Simha Bhūpāla)

<sup>5</sup> वीणायामपरस्यां पञ्चमतन्त्री श्रुतिमात्रं शिथिलीकार्या तदा मध्यमग्रामो जायते ॥ (*Abhinavabhārati* Commentary on *BNS*)

<sup>6</sup> द्वे वीणे सदृशौ कार्ये यथा नादः समो भवेत् । तयोर्द्वविंशतिस्तन्त्र्यः प्रत्येकं तासु चादिमा ॥

अधराधरतीव्राः तास्तज्जो नादः श्रुतिर्मताः । (*SR* I. 3. 11 & 13a)

<sup>7</sup> यथा शरीरे श्रुतयः उत्तरोत्तरोच्चा उत्पद्यन्ते तथा वीणायां अधराधरोच्चा उत्पद्यन्ते इति बोद्धव्यम् ॥ Kallinātha on *SR* (I.3.12-14)

<sup>8</sup> द्विगुणः पूर्वपूर्वस्माद् अयस्यादुत्तरोत्तरः । एवं शरीरवीणायां दारव्यान्तु विपर्ययः ॥ (*Saṅgīta-darpaṇa* 1.47)



considered as *ṣaḍja*, is an ancient concept traced to Dattila (c 3<sup>rd</sup> cent CE) by Simha-bhūpāla<sup>9</sup>. Śārṅgadeva famed to have designed a new instrument *Niśśaṅka-vīṇā* known by his honorific *Niśśaṅka* meaning *Doubt-less*, must have taken the middle octave to be equivalent to the interval [1, 2]. He says as much in the third chapter of *SR* while describing the process of *ālāpana*, where he introduces the technical term *dvyardha* which literally means *one-and-half*. Kallinātha comments that since, relative to the *dviḡuṇa-svara* this note is at the middle it is called *dvyardha*. The very form of the word indicates this to be based on the *Vīṇā* referring to Ma if the physical length of the octave on the string is taken. If the *śruti* value were to be meant then *dvyardha* would refer to Pa.

*Saṅgīta Pārijāta* of Ahobala Paṇḍita (1665 CE) is the first known text to specifically state that at half the length of the open string the *dviḡuṇa ṣaḍja* arises. This work also provides the distances of the seven so called *śuddha-svaras* (pure notes) on the open string. Since theorization and experimental effort by Hindu musicologists is largely ignored by main stream historians of science in India, the relevant text is quoted here.

स्वरश्च हेतुभूताया वीणायाश्चाक्षुषत्वतः । तत्र स्वरविबोधार्थं  
स्थानलक्षणमुच्यते ॥

ध्वन्यवच्छिन्नवीणायां मध्ये तारकसंस्थितः । उभयोः षड्जयोर्मध्ये  
मध्यमं स्वरमाचरेत् ॥

त्रिभागात्मकवीणायां पञ्चमः स्यादग्रिमे । षड्जपञ्चमयोर्मध्ये  
गान्धारस्यस्थितिर्भवेत् ॥

सपयोः पूर्वभागे च स्थापनीयो रिस्वरः । सपयोर्मध्यदेशे तु  
धैवतं स्वरमाचरेत् ॥

तत्रांशद्वयसंत्यागात् निषादस्य स्थितिर्भवेत् ॥

(*Saṅgīta Pārijāta* v. 314-318)

Ahobala remarks that on the *Vīṇā*, the *svara* positions can be visually observed for better appreciation of their nature. The positions on the

open string are given with the understanding that the free string produces the *madhya-Sa*. The positions are as follows: *tāraka* (upper-Sa) is at the centre of the string; Ma is at the midpoint between the middle and upper-Sa. On the string divided into three parts, Pa will be at the first one-third point (from the left). Gāndhāra (Ga) is located at the midpoint between Sa and Pa. By dividing the distance between Sa and Pa into three parts, Ri is placed at the first point from the left. Dhaivata (Da) is taken as the midpoint between Pa and the upper-Sa. By dividing the distance between Pa and the upper-Sa into three parts, Ni is placed after leaving two parts from Pa. He further gives the 22-*śruti* positions of unequal pitch intervals along with their traditional names, details of which can be read in the original (Gondhalekar, 1897). The taut string of the *Vīṇā* is held at the two ends and plucked near the right support. The above statement of Ahobala translates into placing the frets for the middle register at (1, 8/9, 5/6, 3/4, 2/3, 7/12, 5/9, 1/2) of the full length of the string measured from the right end support to produce the seven *svaras* in their ascending order and then the *dviḡuṇa*. Since the fundamental natural frequency of a taut string is inversely proportional to its length the above values indicate the *śuddha svara* scale of Ahobala to be (1, 9/8, 6/5, 4/3, 3/2, 12/7, 9/5, 2). Naturally there are other ways of dividing the length to produce more number of differing notes which may or may not lead to a pleasant *rāga* scale. It is also evident that several ways of partitioning the string, holding the *dviḡuṇa* property as invariant was known to Bharata and his successors. But for some special reason they did not like to precisely discretize the octave into finite number of unconnected points, although the seven or the twenty one strings of the ancient *Vīṇā* were separated physically.

All the texts abound in discussions on how to combine, compare, discriminate, organize and

<sup>9</sup> दत्तिलो हि स्वेच्छया यस्यां कस्यामपि श्रुतौ षड्जं स्थापयेत्तदपेक्षया च श्रुतिनियमेन अन्यस्वान्स्थापयेदित्युक्तवान् । यदाह- षड्जत्वेन गृहीतो यः षड्जग्रामे ध्वनिर्भवेत् । तत ऊर्ध्वं तृतीयः स्याद् ऋषभो नात्र संशयः ॥ (Simha Bhūpāla, Comm. on *SR* I.4.15-16)

accept or reject the *svara* pattern to generate innumerable melodies. What is glaringly evident in all the texts on music, starting from the Vedic period, is the symbiotic relation nurtured between the *Vīṇā* and the human voice. This experimental approach seems to have peaked during 1200-1700 CE. A philosophical question that has occupied the Hindu mind since ancient times has been whether the *svara* gamut is contrived artificially or is it something already existing in the cosmic *nāda* space only to be manifested through human voice? The genesis of this question is traceable to the metaphysical distinction made between *dhvani* and *nāda*. The former is the primordial all pervasive sound that is the cause of all creation<sup>10</sup>. A form of this *dhvani* known as *nāda* leads to music but it is again of five types. The *ati-sūkṣma-nāda* (most subtle sound) is in the Heart; the *sūkṣma-nāda* (subtle sound) is in the cave (of the Heart). The *avyakta-nāda* (non-manifest sound) is at the level of the jaw, while it is *vyakta* (manifest) at the throat centre and it is *kṛtrima* or artificial at the mouth<sup>11</sup>. The Heart referred here is not the biological organ on the left side of the chest, but the Heart in the subtle body that is extolled in the Vedas<sup>12</sup>. The 22-*śrutis* of music are also conventionally stated to originate at this location due to 22-nodes present in the Heart-*cakra* that is perceptible in yogic meditation<sup>13</sup>.

The question of artificiality of musical notes might have arisen due to academic interest also, since not all persons are capable of producing the musical *svara* sequence satisfactorily, but inarticulate sounds of some birds appear to follow a natural pattern if heard intently. *Nārādīyaśikṣā* traditionally revered as the Vedic ancillary text representing the interface between Vedic and

*laukika* music, states that the peacock calls in *śadja* (Sa), the *krauñca* (heron) calls in *madhyama* (Ma) and the *koel* calls in *pañcama* (Pa)<sup>14</sup>. We need not dwell on this concept other than noting that Mataṅga, and Śārṅgadeva cite this model whereas later authors particularly Rāmāmātya grandson of Kallinātha (Aiyar, 1932), Somanātha, Ahobala do not quote this, even though they were equally interested with the question of natural existence or otherwise of the musical notes. These three musicologists excelled in their experimentation, construction and classification of a variety of *Vīṇā* instruments. Rāmāmātya followed by Somanātha reports that in the four stringed instruments tuned as Sa-Pa-Sa-Ma in two registers some *svaras* are spontaneously excited even when the assigned frets are not pressed on the neighbouring string. The presence of the second and third harmonic corresponding to the *dviguṇa*-Sa and the Pa could be recognized aurally by plucking the open Sa-string and theorized to be *svayambhū* or self-generated. By a series of such arguments, Somanātha concludes all the notes in the octave to be natural and not of human creation or imagination. Besides recognizing the presence of higher harmonics in a *svara* sound, he also reports sympathetic vibration on neighbouring strings tuned to nearly the same *śruti*. The concept of *saṁvādi svaras* (consonant notes) known from Bharata's time, get a new interpretation nearer to the modern theory of vibration of strings by the experiments of Somanātha.

#### 4. ŚRUTI AND SVARA

A point that has been vigorously discussed, in all the texts, is the difference between *śruti* and *svara*. Is *śruti* the cause of *svara* or the other way

<sup>10</sup> ध्वनिर्योनिः परा ज्ञेया ध्वनिः सर्वस्य कारणम् । आक्रान्तं ध्वनिना सर्वं जगत् स्थावरजङ्गमम् ॥ (*Bṛhaddeśi* v. 11)

<sup>11</sup> सूक्ष्मो नादो गुहावासी हृदये चातिसूक्ष्मकः । कण्ठमध्ये स्थितो व्यक्तः अव्यक्तस्तालुदेशके ॥

कृत्रिमो मुखदेशे तु ज्ञेयः पञ्चविधो बुधैः । इति तावन्मया प्रोक्ता नादोत्पत्तिर्मनोहरा ॥ (*Bṛhaddeśi* v.24-25)

<sup>12</sup> *Nārāyaṇa Sūkta* in the *Taittirīya Āraṇyaka* (10.13) of the *Kṛṣṇa Yajurveda*

<sup>13</sup> तस्य द्वाविंशतिर्भेदाः श्रवणाच्छ्रुतयो मताः । हृद्यूर्ध्वनाडीसंलग्ना नाड्यो द्वाविंशतिर्मताः ॥ (*Saṁgīta Ratnākara* I.3.8)

<sup>14</sup> *Nārādīyaśikṣā with Commentary of Śobhākara*, Published by Srī Pītāmbarāpīṭha Samskrta Pariṣad, Dātiya, 1964

round? *Svara* are seven, but is there only one *śruti* or are there precisely 22-*śrutis*? Simha-bhūpāla says that there are 22 different types of *nāda* denoted as *śruti*, but also points out the confusion existing about the meaning of the word used<sup>15</sup>. Even though *śruti* and *svara* were held to be different, as Maṭaṅga says *svaras* get depicted always by *śruti*<sup>16</sup>, theorists looked for physical demonstrations to discriminate the above two words and to clarify their meanings. Abhinavagupta in his *Abhinavabhāratī* on *BNS* explains the above technical terms clearly referring to the stringed *Vīṇā*. According to him *svara* is that pleasant audible sound with *anuraṇana* emanating from excitation at the corresponding *śruti* position. *Śruti* is just the audible peculiarity arising immediately with sound and it is not any divisible part of sound<sup>17</sup>. Śārngadeva also refers to *anuraṇana* and defines *śruti* and *svara* (*SR* I.3.24) in the same way as Abhinavagupta.

Somanātha through his experiments with strings points out that *svaras* are not only self-emanating but have the property of *anuraṇana*. That is, with any *svara* there exists ‘follower sound’ which refers to presence of overtones and higher harmonics. On the other hand *śruti* is just any sound in the interval of the octave without *anuraṇana*. In the absence of a tuning fork to demonstrate *śruti* as the flat sound value due to a pure Sine wave, the above are to be considered as fairly clear explanations. The subtlety in this argument is due to the fact that the sound values of both are relative with respect to *madhya-Sa* taken as unity. Whereas *svaras* as dependent

variables have nearly specified locations, *śruti* as the independent variable is just any point in the interval [ $\frac{1}{2}$ , 4] spanning the three registers and beyond. Ahobala points out that as per experts *śrutis* are abundant, placed at hair-tip interval, in the octave. It is recognized, he says, that there are 22 *śrutis* in the human voice and in the *Vīṇā* based on the Sa-Pa interval relation<sup>18</sup>. As we go through the texts, we find that essentially the numbers 7 and 22 are taken to be conventional to illustrate how *svaras* can be produced to a first approximation by dividing the octave, but the *nāda* of *svara* is not same as *śruti-nāda*. The *Nārādīyaśikṣā* figuratively explains that variation of *svara* over *śruti* cannot be known, but the text prescribes that the transit from *svara* to *svara* should be smooth like the movement of shadow and sunlight<sup>19</sup>. This is as good as a mathematical condition that *svara* must vary as a continuous function of *śruti*. The principle of similarity between the human body and the stringed *Vīṇā* instrument goes deep back into the Vedic period as pointed out in the beginning of this article. Musicologists have explored this doctrine further since this is all about the tonal quality of the voiced *svara* that is the basic ingredient of *rāga*. What was suspected to exist in voiced *svara* was confirmed by them on the string so that through a feedback the two modes of music could get mutually enriched. Modern science helps us to visualize the presence of overtones and higher harmonics through frequency domain analysis. As an aid to follow the previous discussion, the frequency spectrum of three *svaras* as sung by a musician is shown in Fig. 1<sup>20</sup>.

<sup>15</sup> तस्य नादस्य द्वाविंशतिसंख्यका भेदा भवन्ति । ते च श्रुतिसंज्ञया उच्यन्ते ।....तत्र श्रुतेः एकत्वानेकत्व विषये महती विप्रतिपत्तिः ।।

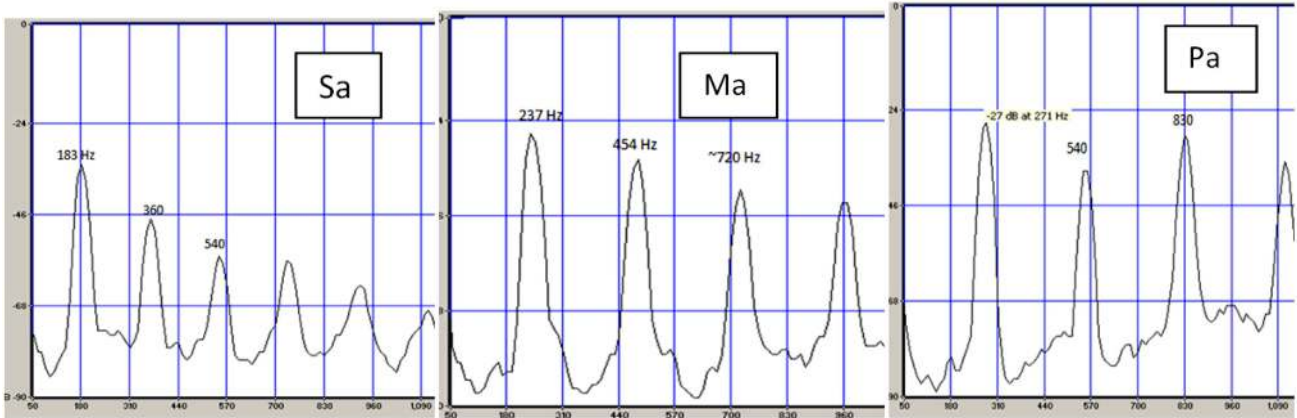
<sup>16</sup> षड्जादयः स्वराः सप्तव्यज्यन्ते श्रुतिभिः सदा । अन्धकारस्थिता यद्वत् प्रदीपेन घटादयः ।। (*Bṛhaddeśī* v. 36)

<sup>17</sup> वयं तु श्रुतिस्थानाभिधातप्रभवशब्दप्रभावितोऽनुरणनात्मा स्निग्धमधुरः शब्द एव स्वर इति वक्ष्यामः ।... .. श्रुतिश्च नाम श्रोत्रगम्यं वैलक्षण्यं यावता शब्देनोत्पद्यते । ... .. शब्दावयवो न श्रुतिः इत्युक्तमेव ।। (*Abhinavabhāratī* Commentary on *BNS*, See Ref.18)

<sup>18</sup> केशाग्रव्यवधानेन बह्व्योऽपि श्रुतयः स्मृताः । वीणायांच तथा गात्रे संगीतज्ञानिनां मते ।। (*Saṅgīta-pārijāta* See Ref.25)

<sup>19</sup> स्वरात्स्वरसंक्रमस्तु स्वरसन्धिमनुल्बणम् । अविच्छिन्नं समं कुर्यात् सूक्ष्मं छायाऽऽतपोपमम् ।। (*Nārādīyaśikṣā* I. 6.18)

<sup>20</sup> This is taken from the M.Sc Dissertation, *Spectral Analysis of Gamaka Svaras* by Karuna Nagarajan, SVYASA University, 2006. Figures personally communicated by Dr. Karuna Nagarajan.



**Fig. 1.** Spectrum of voiced *svara* Sa, Ma and Pa. The *svara* are very nearly centred on Sa = 180 Hz; Ma=240 Hz; Pa=270 Hz. Observe that in the first graph of Sa higher harmonics namely, *dviguṇa*-Sa and *dviguṇa*-Pa are present. The peaks are not spiked and pointed like arrow heads. Sound due to a narrow band of frequencies around the corresponding primary *śruti* and also due to *dviguṇa* harmonics would be present in any good quality *svara*. *Śruti* can be taken as non-dimensional proxy for the modern frequency.

## 5. RĀGA CHARACTERIZATION

Indian music from the time of Bharata or earlier has had syllabic symbols for the seven notes as *Sa, Ri, Ga, Ma, Pa, Da, Ni* that can be vocalized with or without the pronunciation of the vowels and also produced on the string. A select number of *svaras* out of the seven pure and twelve *vikṛta svaras* are arranged and combined in myriad ways leading to thousands of *tāna, mūrchanā, alaṅkāra* and still other patterns for voice training and practice on the *Vīṇā*.

The numbers of different ways of selecting  $k$  objects out of a population of  $n$  is a classical problem in Combinatorics. The genesis of this can be traced to Piṅgala's theory of meters where short and long syllables are grouped into triplets that form the basis of Sanskrit poetry. The mathematical counter part of this, namely the Binomial Theorem (Bag, 1966, pp.68-74) with further multinomial generalizations can be used as shown by Śārṅgadeva and others for forming *svara-prastāra* to uniquely describe *tāna, mūrchanā, alaṅkāra* (Sridharan, 2012, pp.116-118). But, any definition or description of *rāga* will be as incomplete as one can possibly describe

in words the flowing river, the blowing wind or the thunder storm. A very general statement due to Maṭaṅga is that *rāga* is a special imaginative sound sequence that is aesthetically pleasing and melodious to captivate the minds of the listeners<sup>21</sup>. However, musicologists with keen hearing and long observation have found several special normative features as essential for depicting any *rāga* out of a selection of *svaras*.

Bharata lists ten general characters for what he denotes as *Jāti* (genus) the forerunner of the later *rāga* of Maṭaṅga and Śārṅgadeva. The ten characters considered fundamental to describe a particular *rāga* and also to discriminate one from another (*i.e.* with a given name) are *graha, amśa, nyāsa, apanyāsa, mandra, tāra, śāḍavita, auḍvita*, and *alpatva, bahutva*. All the ten points with further divisions and subdivisions are described in SR and the two commentaries in great detail. The first four characters are about the starting, major, intermediate and ending notes. The next four refer to the lower and upper registers and six- and five-*svara* possibilities. The last two are the most intriguing importance of which is missed in literal translations.

<sup>21</sup> योऽसौ ध्वनिविशेषस्तु स्वरवर्ण विभूषितः । रज्जको जनचित्तानां स रागः कथितो बुधैः ।। (*Brhaddeśī* v.281)



## 6. ALPATVA-BAHUTVA

*Rāga* depiction can happen either with a song already composed or extempore without any words. The former is the *nibaddha* (*kalpita*) music while the latter is the *ālāpana*. For any *rāga* with a defined *āroha-avaroha* (scale), its individuality is infused through the concept of *alpatva* and *bahutva* of the *svaras*. Except for the *alpatva-bahutva* characteristic, the other eight listed above can be classified as in the case of *tāna*, *murchana*, *alṅkāra* that are deterministic *svara* groups (Ayyangar, 1978). The first *jāti-rāga* named *ṣāḍjī* exemplified through a song with *svara-prastāra* appears in the seventh section of the first chapter of *SR*. The notes of the song of twelve lines to be sung row by row are shown here in Table 1. Kallinātha, in his commentary explains that this example is useful in understanding the property *alpatva-bahutva*.

अत्र स्वरसंख्या अल्पत्वबहुत्व परिज्ञानाय लिख्यते । षड्जाः  
षट्त्रिंशत्; ऋषभा द्वादश; गान्धारा विंशतिः; मध्यमा पंचमाअष्टौ;  
धैवताः षोडश; निषादा द्वादशेति मिलिता द्वादशोत्तरं शतम् ।।

He shows the relative frequency of the *svaras* by counting their occurrence in the table as Sa=36; Ri=12; Ga=20; Ma=8; Pa=8; Da=16 and Ni=12; the sum total is 112. He does not differentiate between long and short notes and the registers. He also points out that the *amśa*

(important) *svara* Sa is the most frequent. This art of mixing *svara* in different proportions to derive melodies goes back to Bharata. Śārṅgadeva in the first chapter of *SR* presents illustrative examples, like the above, for all the 18 *jāti-rāgas* of *BNS* demonstrating the *alpatva-bahutva* property as explained by Kallinātha. It is easy to see from the above example the ratio of the counts to the sample size 112 is, in modern data analysis, an estimate for the probability of occurrence of the seven *svaras*. Here, the *svara* is seen to be treated as a discrete random variable with seven possible outcomes with different probabilities. Starting from such elementary discrete structures, more complex compositions are described and transition to continuous variation of *alpatva-bahutva* property is achieved in *rāgālāpana*.

In the second chapter of *SR* more than two hundred *rāgas* are described, some of them with elaborate examples. A major contribution of Śārṅgadeva is the illustration of the structure of several *rāgas* through songs and direct *ālāpana*, as a succession of sounds arising out of different groupings of *svaras* with the property of *alpatva-bahutva* in a pleasant manner. These examples are actually long *svara* sample data showing the notes to be used in a particular *rāga* and their proportion and mix. *SR* does not report any quantified proportions, but that can be easily estimated from

**Table 1.** *Svara Prastāra* of song in the *Rāga Ṣāḍjī*

[Lower dot: *Mandra*; Upper dot: *Tāra*; others: *Madhya*]

Sā	Sā	Sā	Sā	Pā	NiDa	Pā	DaNi
Rī	GaMa	Gā	Gā	Sā	RiGa	DaSa	Dā
RiGa	Sā	Rī	Gā	Sā	Sā	Sā	Sā
Dā	Dā	NĀ	NiSa	NiDa	Pā	Sā	Sā
NĀ	Dā	Pā	DaNi	Rī	Gā	Sā	Gā
Sā	Dā.	Da.Ni.	Pā.	Sā	Sā	Sā	Sā
Sā	Sā	Gā	Sā	Mā	Pā	Mā	Mā
Sā	Gā	Mā	DaNi	NiDa	Pā	Gā	RiGa
Gā	Gā	Gā	Gā	Sā	Sā	Sā	Sā
Dā	Sā	Rī	GaRi	Sā	Mā	Mā	Mā
Dā	Nī	Pā	DaNi	Rī	Gā	Rī	Sā
RiGa	Sā	Rī	Gā	Sā	Sā	Sā	Sā

the samples as explained above by Kallinātha. There are further divisions to the ten principal characters of *rāga* and many delicate features are described in SR to introduce innovatively continuous variability in a nuanced fashion in *rāga* presentation. Only a few such artifices can be noted here. *Alpatva* is of two kinds known as *lañghana* and *anabhyāsa*<sup>22</sup>. The first is to leap over a *svara* whereas the latter is infrequent use. Popularly *lañghana* is interpreted as skipping a *svara*. But even in the pentatonic scale transitions in the *rāga* are continuous. Hence, Śārṅgadeva is careful to define *lañghana* as *īṣat-sparśa* that is infinitesimally vanishing touch, probably observed with missing notes<sup>23</sup>. Kallinātha explains this further as the absence of (*svara*) form produced by effort<sup>24</sup>. The second type of *alpatva* is *anabhyāsa* that is infrequent use. Hence *alpatva* is better translated as low probability. The opposite of the above is *bahutva* with *alañghana* and *abhyāsa* that refer to emphasis and frequent use of particular *svaras*. Thus, this pair of property is both qualitative and quantitative and also to be effected relative to each other. For every *rāga*, *svaras* having low probability are specified in SR. This automatically implies the remaining *svaras* to be relatively more frequent. It is not that always the probabilities of the *svaras* have to be unequal. For example in the *Rāga Mālavaśrī* the seven *svaras* have equal weight<sup>25</sup> and this is confirmed by Kallinātha also in his commentary<sup>26</sup>. This example illustrates that among all the theoretical characters it is the probabilistic property of *alpatva-bahutva* that is central to *rāga* individuality. The other features such as *sañcāra* and *antaramārga*, *rju* and *vakra* and variation in speed introduce more variability into the sound

structure so much so the pitch position of a particular *svara* in any *rāga* will not be single valued. Already we have seen in Fig.1, the basic *svara* is not a strict Sine wave, but it is only nearly so, such that its energy is spread in a narrow band carrying overtones contributed by closely spaced *śrutis* or frequencies. Additionally ornamentation due to *gamaka* which is defined as a delicate modulation around a *svara* is a must to please the listeners<sup>27</sup>. This modulation is further classified into fifteen types of refined movements such as quivering, throbbing, wavering, shaking, oscillating, swinging, sliding, and rotating with further combinations thereof. There are too many possible technical variations that cannot be discussed here except to remark that all of these add variability to the *rāga* on different time scales. Finally it is the imagination of the singer that decides the detailing of *ālāpana* in a session, so much so musicologists neither understood nor explained *rāga* to be a deterministic process.

If musicologists are expected to analyze the best of the music heard by them to delineate the theory behind *rāga* depiction, we can see that the texts starting from BNS, with the available vocabulary at their disposal, foreshadow concepts that are basic to the Theory of Probability. *Rāga* as a random process may sound unusual and even jarring for those who connect with the aesthetics of *rāga* music only through emotion. But Śārṅgadeva following his predecessors not only analyzes classical music in terms of a hierarchically complex set of sound structures but also describes through similes the tantalizingly unpredictable nature of *rāga* while describing the procedure of *ālāpana* in the third chapter of SR.

<sup>22</sup> अल्पत्वं च द्विधा प्रोक्तमनभ्यासाच्च लङ्घनात् । अनभ्यासस्त्वनंशेषु प्रायो लोप्येष्वपीष्यते ॥ (SR I.7.50)

<sup>23</sup> ईषत्स्पर्शो लङ्घनं स्यात्प्रायः तल्लोप्यगोचरम् । उशन्ति तदनंशेषु क्वचिद्रीतविशारदाः ॥ (SR I.7.51)

<sup>24</sup> लङ्घनमीषत् स्पर्शः स्वरस्य स्थान-प्रयत्न-कृत-स्वरूप-न्यूनता ॥ (Kallinātha on SR above)

<sup>25</sup> दिनस्य केशवप्रीत्यै मालवश्रीस्तदुद्धवा । समस्वरा तारमन्द्रषड्जांशन्यासषड्जभाक् ॥ (SR II. 2.53)

<sup>26</sup> मालवश्रीलक्षणे - समा स्वरा यस्याः सा समस्वरा । स्वराणां समत्वं अत्र अल्पत्वबहुत्वकृत वैषम्यरहितत्वं विवक्षितम् ॥ (Kallinātha on SR above)

<sup>27</sup> स्वरस्य कम्पो गमकः श्रोतुं चित्तसुखावहः । (SR III.87a)

His approach is analytical as one can realize from hundreds of technical words used to delineate not only the grammar of *rāga* but also the sound quality of voice and classification of good and bad singers. To this already heavy vocabulary Somanātha adds a further set of technical terms and a unique system of notations in his text for rendering *rāga* music on the *Vīṇa*.

*Ālāpana* or *ālapti* is defined in *SR* as exposition (*prakaṭikaraṇam*) of the *rāga*. Some broad guide lines are stated for this aural depiction that can be vocal or presentation on the string. Four modes of transit are mentioned as dwelling states. From the *sthāyisvara* up to but excluding the fourth *svara* (*dyardha*) and return is the first transit. The second is the one including the fourth *svara*. The third transit is dwelling in between the fourth and the eighth *svara* to return to the *sthāyi*. The fourth would include the eighth note (*dviguṇa*) and occasionally above to return back to the end note that is like a stationary point. In this process conditions previously stated such as *alpatva-bahutva*, *laṅghana*, *nigraha*, *praveśa* and varieties of ornamental *gamaka* as found pleasing, have to be maintained. The exposition is done in calm, composed and leisurely pace, little by little, bringing out the temporal pattern of *rāga* as a whole that is sometimes hidden, sometimes prominent but always modulated within the region of attraction prescribed by the ascent and descent of the *svaras*. Śārṅgadeva cryptically but effectively states

स्तोकस्तोकैस्ततः स्थायैः प्रसन्नैर्बहुभङ्गिभिः । जीवस्वरव्याप्तिमुख्यै  
रागस्य स्थापना भवेत् ॥

(SR 3.196)

The word *stoka-stokaiḥ* has the meaning of little-by-little, and the word *stoka* in Indian mathematics stands for a small unit of time equal to about 5.35 seconds (Rangacharya, 1912). The phrase *bahubhaṅgi* is equally meaningful. This refers to another important point that with all general characters of the *rāga* remaining same a

refreshingly different realization is possible in each session. Each musician can also render the same *rāga* in individual style with several little-by-little embellishments. Thus the theoretical characterization of *rāga* music as developed in the traditional texts is nothing but stochastic.

## 7. DISCUSSION

In modern times, Ramachandran (1938) was perhaps the first person to point out somewhat hesitantly “Hitherto single figures have been given by various writers as the value of the *śrutis* in each *raga*. But it may be clearly seen that in each *raga* a note assumes different shapes.....It is more or less a general tendency for the *śruti* of a particular note to appear sharp when that note is relinquished for a higher note and to appear flat when a descent is made for that note.....And *gamakas* afford a wide choice of *śrutis* in the treatment of a note.”

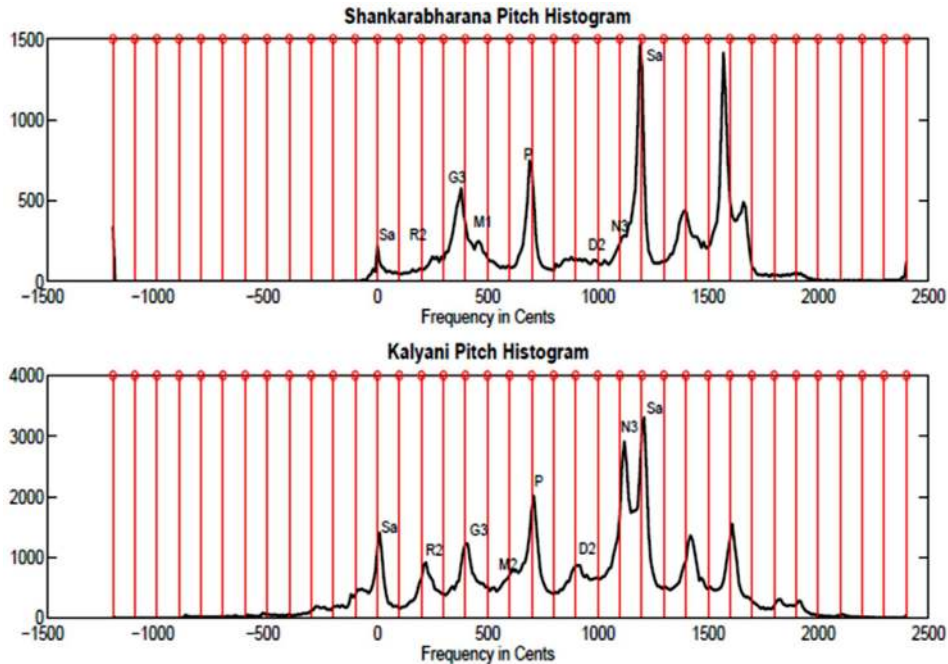
He found with the help of a sound analyzer that in the *rāga Kanakāṅgi* the *svara* Ri appears to take at least two values 10/9 and 256/243. He experimented with singers and found in some cases three different *śruti* values were used for the same *svara*. He seems to take *svara* to be a Sine function which has two or three distinct frequency values that are rational fractions. But as per the ancient texts the variation over the *śruti* is generally continuous and hence careful analysis should show any *svara* to occupy a narrow band of *śruti* values in songs set to *rāga* by learned composers and also in appealing *ālāpana* performances. This fact has been experimentally verified by Komaragiri (2012, pp.3-11) by analyzing time series of several *rāga* performances by three famous contemporary musicians. In Fig. 1 three isolated *svara* were depicted using modern recording and analysis techniques to find that their peak *śruti* values, although nearly equal to (1, 1.33, 1.5) still show considerable spread. In the presentation of any *rāga* by an inspired maestro, in either the southern or the northern style, the *svara* flow will be continuously weaving patterns

in time. In such a case to find the *śruti* value of a *svara* would be, as aptly said by Nārada, like finding the path of fish in water and flight of birds in air<sup>28</sup>. In recent years there is increased interest in computational methods to identify *rāgas* of classical music based on actual recordings of performances (Koduri, Serra and Serra, 2012). As an off shoot of such investigations one can construct pitch histogram of a *rāga* which shows the number of times finely divided *śruti* bins in an octave get occupied in a sample *rāga* performance over a short time period. In Fig.2, two such plots are shown for the number of occurrences of different *svaras* over the *śruti* interval in the performance of two popular *rāgas*. The X-axis is in Cents defined as

$$\text{Cent} = 1200 \log_2(\text{śruti})$$

Thus, the middle register covering the *śruti* interval [1, 2] corresponds to the interval [0, 1200]

Cents. The figure shows that the histograms do not peak at every one of the theoretically prescribed *svaras* even though their contribution is present in the distribution. Also interesting to note is the very small contribution from *svara* that are popularly said not to belong to that particular *rāga*. This seems to happen since in *gamaka* phrases, neighbouring *śrutis* and even slight movements of another *rāga* (*svara-kāku*, *rāga-kāku*) are permitted but with very low probability. Description of such niceties is available in the 3<sup>rd</sup> Chapter (*prakīrṇādhyāya*) of *SR* and the commentaries. The relative heights and spreads in the figures are visual representations of the *alpatva-bahutva* character of the particular *rāga* within the time interval of its exposition and also as discriminated from another *rāga*. More importantly, from a historical view point, this is a modern generalization of the *svara* counting method first demonstrated by Kallinātha six



**Fig. 2.** Pitch Histograms showing the property of *alpatva-bahutva* or relative probability of occurrence of the seven prescribed *svaras* for two popular Rāgas of Karnatik Music in current practice.[Figure after Prof. Hema Murthy; IITM, Chennai Accessed on 21-11-2017;

<https://www.google.co.in/Hema-Murthy-slides-3rd-CompMusic-workshop.pdf> ]

<sup>28</sup> यथाप्सुचरतां मार्गो मीनानां नोपलभ्यते । आकाशे वा विहङ्गानां तद्वत् स्वरगताश्रुतिः ।। *Nāradyaśikṣā* (I. 6.16)



centuries ago. The variation of the pitch in Fig. 2 is continuous and hence for proper comparison between two *rāgas* the figures have to be normalized such that the area under the curve is unity. This will be in fact an estimate of the first order probability density function of the continuous random variable *svara* of that particular *rāga*. Each *rāga* will be characterized by one such multimodal probability density function with several peaks. It must be noted that this is only a first order mathematical description or model with no claims for completeness in explaining *rāga* music in all its delicate intricacies. Like with any stochastic process joint density functions of higher order and time wise variation of statistical properties greatly matter.

Among the structural characters of a *rāga*, apart from the ten properties stated by Bharata, *antaramārga* (internal path) is considered important by all authors. This is a very general phrase and only some vague information of this is available in *SR* and the commentaries. Roughly speaking this allows use of *svaras* displaced from their assigned positions to be used in between other *svaras* as though they have *alpatva* (low probability) character. Seen from the perspective of a stochastic process *antaramārga* may be referring to internal relation among *svaras* in time. The simplest measure of this second order property is the autocorrelation function. How is this *antaramārga* concept brought into the education of music students? This is where Śārṅgadeva highlights the importance of *vāggeyakāras* who are accomplished scholars having the ability to compose songs, set them to *rāga* music and also sing, for the sustenance of the classical tradition. Thus the *nibaddha-saṅgīta* or composed music for which the *svara-prastāra* is made available by tradition is indispensable not only for training purposes but also for the theory of *rāga* music. We have seen the example of *rāga śāḍjī* already. *SR* provides several such examples with samples of *ālāpāna* also as a sequence of *svaras*. One can

analyse these to some extent by assigning *śruti* values to the *svaras*. Out of curiosity in Fig. 3(a) the time series of the *gīti* in the *rāga śāḍjī* given in *SR* as per Table 1 is plotted by taking the short syllable as a reference (*mātrā*) time unit. The autocorrelation of the *rāga* as it evolves in time is shown in Fig. 3(b).

It is observed that the *prastāra* is strongly correlated with the autocorrelation decaying very slowly. It would be interesting to compare the above with simple songs currently in use. Saint Purandaradāsa (c 1550 CE) considered the grandsire of Karnatic music systematized teaching at the elementary level to begin with *sarale-varase*, *janti-varase*, and *alamkāra* series. He also composed hundreds of songs in different *rāgas*, many of which are popular to this day. His *gīti* compositions *śrī-gaṇanātha* and *kereya nīranu* in

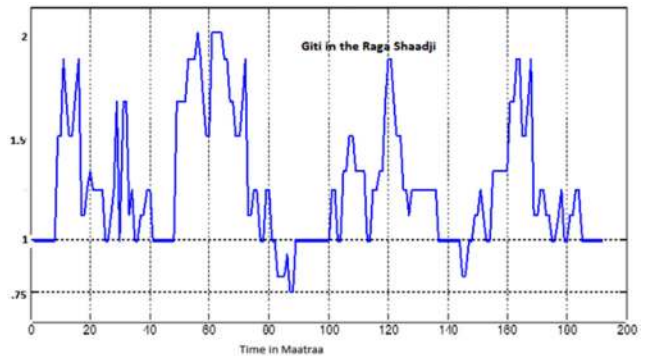


Fig. 3(a). Time series of the song in Rāga Śāḍjī

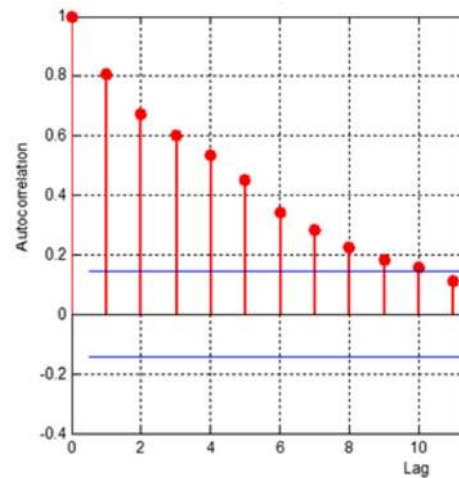


Fig. 3(b). Autocorrelation

the *rāga Malahari* are the very first songs that students learn even now. These are shown in Fig 4 (a) and Fig 5 (a) as time series plotted with the prescribed *svaras*. The points are joined by lines to get a visual impression of the *svara* pattern. Fig 4(b) and Fig 5(b) show the autocorrelation function which for up to two steps appears to be decreasing geometrically but later shows slow decay, but faster than the song of Śarṅgadeva above.

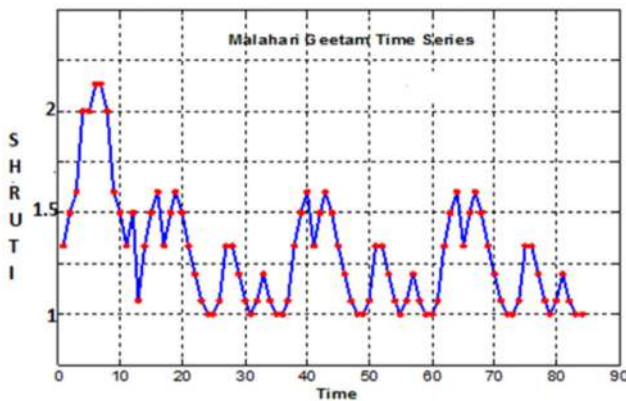


Fig. 4(a) Śrī Gaṇanātha...time series plot

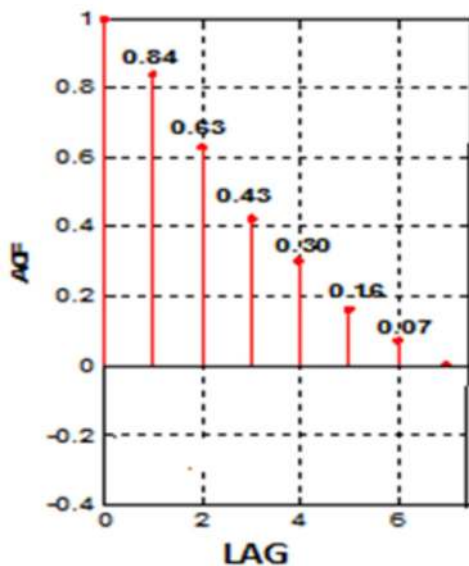


Fig. 4 (b) Autocorrelation

These types of songs are simple, without *gamaka* embellishment and speed variations. These can be faithfully presented even on keyboard instruments using the nominal *svara* positions. These are introduced in music classes

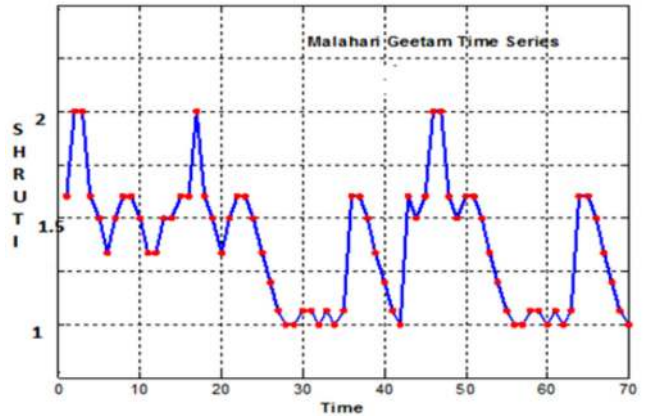


Fig. 5(a) Kereya Niranu...time series plot

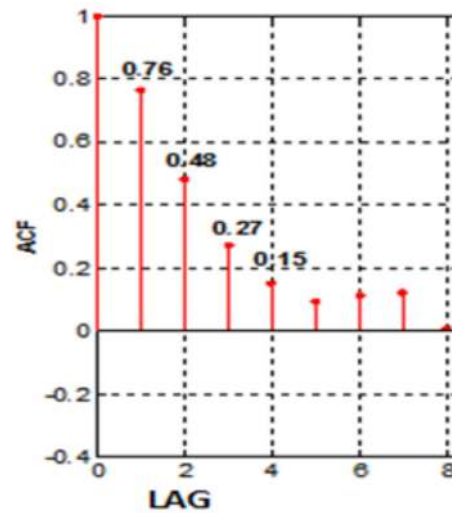


Fig 5(b) Autocorrelation

after training in the strictly deterministic and periodic *alāṅkāra* strings. The point to be noted is that the autocorrelation decreases in two different ways caused by two different internal arrangements among the same *svara* groups indicating the two songs to be sample presentations of the same *rāga* among many other possibilities.

Next, in the graded order of complexity students are trained in *svarajate* and *varṇa* compositions. In Fig. 6 (a, b) the time series and autocorrelation for a *varṇa* composition in the *rāga Hamsadhvani* are shown. These results are by their very nature approximate since the time series is artificially constructed with discrete *svara*

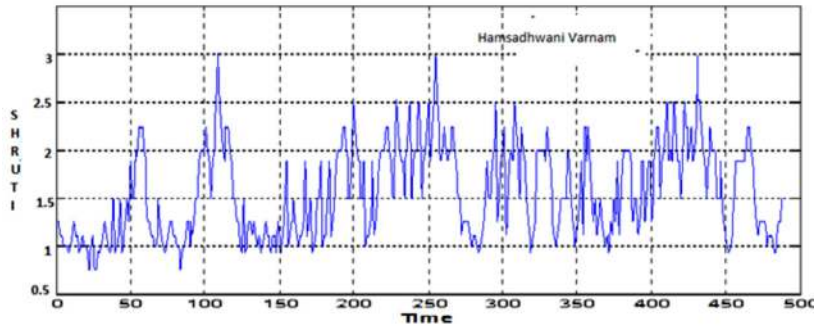


Fig. 6(a) Hamsadhvani Varṇa Time series

[Note: Mandra-Sa=0.5, Madhya-Sa=1, Ri=1.125, Ga=1.25, Pa=1.5, Ni=1.875; Tāra-Sa=2]

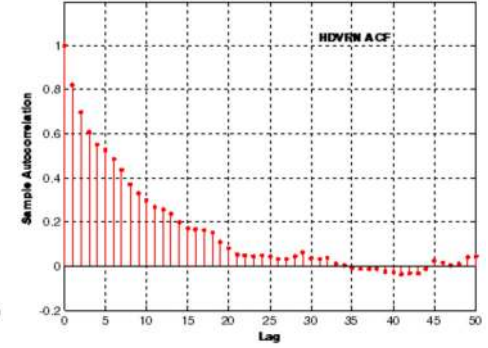


Fig. 6(b) Autocorrelation

positions as given in printed text books and class notes. Nevertheless, one can get an idea of possible second order property of a *rāga*. Here also after two or three steps, the autocorrelation decreases too slowly, indicating long time memory in the composition as far as the song structure is concerned.

Composed songs mark time with the help of external cyclic *tāla* measure to produce a feeling of rhythm in the background of the *rāga*. This may introduce hidden trends leading to slow decay of the autocorrelation as seen above. In *ālāpana* without *tāla* the external time keeping is removed with the time series of *rāga* evolving in different time scales, but staying within the boundary defined by the *āroha-avaroha* and the ever present *gamaka*. In this perspective with or without a vocalized song the theoretical description in the texts of any *rāga* corresponds to a sample time series of the continuous random variable *svara* evolving over its sample space namely, the *śruti* interval  $[\frac{1}{2}, 4]$  as per a pre-defined and culturally imbibed or intuitively innovated probability measure.

## 8. SUMMARY AND CONCLUSION

In this paper we have reviewed a few Sanskrit texts by Bharata, Dattila, Mataṅga, and still later musicologists on classical music, to bring out that the theory of melody or *rāga* music is based on the principle of uncertainty of *svara*

positions in the octave. The *dviguṇa* limit or interval of the octave is precise, but the positions of the seven primary and twelve modified *svaras* are actually treated as variables except for their sequential order within the octave. This is not to say that the *Vīṇā* string positions as stated by Ahobala are invalid. As Ahobala and before him Somanātha points out, the visual fret positions are of great help for learners to get a feeling to the tonal variability of a *svara*, around its central or dominant *śruti* value. It is generally accepted that *rāgālāpana* or *melody-depiction*, for want of a better word, is the real test of a musician. The textual theory can be seen as a pedagogical support to train the future musician to carry out *ālāpana*, which will be an extempore performance without accompaniments except for the drone or *ādhāra-śruti*. It is with reference to this basic pitch level that the *ālāpana* will be gauged and perceived as an aesthetically appealing production of a particular *rāga*. To educate and train a learner for this purpose a heavy hierarchy of increasingly complex sound structures, ranging from the discrete to the continuous, periodic to the stochastic, have been developed by the classical tradition layer by layer. The preliminary teaching is with deterministic discrete *svara* groups. These patterns are without exception pre-determined and hence repeat periodically after a prescribed interval. Such structures are musical and may even exhibit some elements of *rāga* but they are not yet *rāga* music. *Rāga* enters for the first time



through the probabilistic *alpatva-bahutva* property in the increasingly complex musical patterns of songs sung to external time keeping with the help of *tāla*. *Rāgālāpāna* is a further generalization without external time support where the artist has the freedom to bring out the features of the *rāga* as a sample time series with its mathematically aesthetical aural pattern that is emotionally enjoyable and satisfying to both the performer and the listener. Apart from a variety of other factors, Bharata and his successors highlight unexpectedness and particular types of *svara* mix as the key to bring out *rasa-bhāva* or emotional appeal in melodies. Further investigations are necessary to understand higher order organization of *rāga* music, beyond the ancient *alpatva-bahutva* and the modern first order pitch histogram. This is a rich area for further exploration that will help analytical understanding of how emotions (*rasa*) of listeners get evoked in particular *rāgas* by their special aural patterns.

This knowledge tradition combines experimentation on the *Vīṇā* with vocal music through a pedagogical feedback mechanism. While rigorous training, aptitude and intuition play obviously decisive roles in shaping a musician, knowledge of the grammar expounded in the texts adds sophistication and refinement to the art without obstructing the originality of the performer. The historically well evidenced culture of classical *rāga* music as a dialogue between the artist and the audience, independent of any human language, is well preserved in the texts by expounding the theory repeatedly with minor additions and alterations to keep up with contemporary practices.

An amazing textual edifice in Sanskrit has been built by musicologists of yore over two millennia to explore the theory behind classical music. This forms a formidable intellectual foundation that is partly speculative and vastly narrative but systematic and analytical with many ideas that are scientific and mathematical. This

has been possible mainly because the art and the science of *rāga* music developed together as *lakṣya* and *lakṣaṇa* in mutual dependence in the traditional academic ecosystem. While this scholarly growth of musicology is an achievement of the Indic knowledge system with its roots going as far back as the Vedas, there is visible stagnation with no significant Sanskrit texts after mid-19<sup>th</sup> century, even though classical music has large number of votaries to this day all over the country. It is hoped that the relevance of the inquisitive mind set and scientific ideas left behind by the textual tradition will be recognized by our educational institutions including Sanskrit Universities, before it is too late, so that Indian classical music as a fine art can further develop side by side with its traditional partners of mathematics and experimentation with stringed instruments.

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